

Application Number

4649

CoimbatoreCommodities



CLIENT REGISTRATION FORM
(Individual)

CLIENT NAME :

CLIENT CODE :

Coimbatore Commodities Limited

Regd. Office : Stock Exchange Building,

1st Floor, 686, Trichy Road, Coimbatore - 641 005

Tel : (0422) 232 02 02 - 06 Fax : (0422) 232 02 11

Website : www.coimbatorecapital.com

Coimbatore Commodities Limited

Stock Exchange Building, 1st Floor, 686, Trichy Road, Coimbatore 641 005.

Tel : 0422 - 232 02 02 - 06 Extn No.132 / 143 Fax: 0422- 232 02 11

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. Important Points :

1. Self attested copy of PAN card is mandatory for all clients, including Promoters / Partners / Karta / Trustees and whole time directors and persons authorized to deal in commodity derivatives on behalf of company / firm / others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a regional language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FIPB/FEMA guidelines and other applicable statutory approvals), copy of passport / PIO Card / OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted along with other statutory approvals required for investment in commodities.
10. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI) : ①

List of documents admissible as Proof of Identity :

1. Unique Identification Number (UID) (Aadhaar)② / Passport/Voter ID card/ Driving license.
2. PAN card with photograph. ③
3. Identity card issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to

Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): ④

List of documents admissible as Proof of Address: (Note: Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public / Elected representatives to the Legislative Assembly / Parliament / Documents issued by any Govt. or Statutory Authority.
5. Identity card / document with address, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
6. The proof of address in the name of the spouse may be accepted.

D. Exemptions to PAN

(Note: Sufficient documentary evidence in support of such claims to be collected.)

1. Transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the State of Sikkim (subject to the continued exemption granted by Government).

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

Application No.

4649

Coimbatore Commodities Limited

All columns are to be filled in by the client and relevant supporting documents need to be attached by the client. If any question is not applicable, please write 'NA' in the space provided. Any correction or overwriting should also be authenticated by the client signature besides such correction. Please do not use correction fluid.

All proofs should be in the name of the client with the spelling matching exactly with the application. Client should produce the originals of the supporting documents for verification at the time of submitting the application.

SET OF ACCOUNT OPENING DOCUMENT

Sl. No.	Name of the Document	Brief significance of the Document	Ref. Nos.
---------	----------------------	------------------------------------	-----------

DOCKET A**MANDATORY DOCUMENTS AS PRESCRIBED BY FMC & EXCHANGES**

1.	KYC (Account Opening) Application Form	KYC form - Document captures the basic information about the constituent and an instruction/check list.	Annexure-1
2.	Uniform Risk Disclosure Document (RDD)*	Document detailing risks associated with dealing in the commodities market.	Annexure-2
3.	Rights and Obligations of Members, Authorized Persons and Clients *	Document stating the Rights & Obligations of member, Authorized Person and client for trading on exchanges (including additional rights & obligations in case of internet / wireless technology based trading).	Annexure-3
4.	Do's and Don'ts for the Investors *	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	Annexure-4
5.	Tariff Sheet *	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the Commodity Exchange(s).	Annexure-5

DOCKET B**DOCUMENTS AS PROVIDED BY COIMBATORE COMMODITIES LIMITED
(Additional / Non-Mandatory)**

6.	Additional Account Opening Form	Document captures additional information about the constituent.	Annexure-6
7.	Additional Rights and Obligations *	Documents stating the additional Rights and Obligations of Coimbatore Commodities Limited & Client for trading on Commodity Exchange(s).	Annexure-7
8.	Running Account Authorisation *	Helps the client to enjoy exposures linked to the credit in the trading account.	Annexure-8
9.	Declaration for Mobile Number *	Declaration to Member for receiving information / alerts / SMS / Call on the mobile number of the client.	Annexure-9
10.	Declaration regarding voluntary requirements *	Declaration regarding the execution of non-mandatory documents for the smooth conduct of business.	Annexure-10
11.	Verbal Order Acceptance Authorisation *	Declaration regarding oral order placement for trading on the Commodity Exchange(s).	Annexure-11
12.	Consent Form for trade alerts through SMS & E-mail *	Declaration to member for receiving trade alerts through SMS and/or E-mail	Annexure-12
13.	Declaration of Electronic Contract Note (ECN) *	Declaration to Member for receiving Contract Notes in Electronic Form on the mail id of the client.	Appendix A

* Standard set of Documents given to the constituent

Date

d	d	m	m	y	y	y	y

1/11

©

Signature of the Applicant

Contact Details

Name of member	Coimbatore Commodities Limited
FMC Unique Membership Code (UMC) No.	Multi Commodity Exchange of India Limited (MCX) : MCX/TCM/CORP/0886 National Commodity & Derivatives Exchange Limited (NCDEX) : NCDEX/TCM/CORP/0010
Registered / Correspondence office address	Stock Exchange Building 1 st Floor, 686, Trichy Road, Coimbatore 641 005 Tel: (0422) 232 02 02 - 06 Fax: (0422) 232 02 11 Email : derivatives@vsnl.net Website:www.coimbatorecapital.com
Compliance Officer	Mr B Venkateswaran Mobile : 93447 74141 Email: bvn@coimbatorecapital.net
Chief Executive Officer (CEO)	Dr S Karthikeyan Mobile : 93454 04440 Email: sk@coimbatorecapital.net

For any grievance/dispute please contact

Coimbatore Commodities Limited (CCom) at the above address or email id commoditiesigrc@gmail.com and Phone No. 91-422-6505665.

In case not satisfied with the response, please contact

Multi Commodity Exchange of India Limited (MCX) at grievance@mcxindia.com and Phone No. 91-22-66494070.

National Commodity & Derivatives Exchange Limited (NCDEX) at askus@ncdex.com and Phone No. 91-22-66406084 and Fax No. 91-22-66473201.

Annexure -1

Docket - A

KNOW YOUR CLIENT (KYC) APPLICATION FORM

PHOTOGRAPH
Please affix your recent passport size photograph and sign across it

Please sign across

Please fill this form in ENGLISH and in BLOCK LETTERS.

2/11



A. IDENTITY DETAILS													
Name of the Applicant ③ (as in PAN Card)													
Father's / Spouse Name													
Date of Birth		d	d	m	m	y	y	y	y	Gender : Male <input type="checkbox"/> Female <input type="checkbox"/>		Marital status : Single <input type="checkbox"/> Married <input type="checkbox"/>	
Nationality		Status : <input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident <input type="checkbox"/> Foreign National (If Non Resident/Foreign National, self-certified copy of statutory approval obtained must be attached)											
Permanent Account Number (PAN) ③													
Unique Identification Number (UID) / Aadhaar, if available ②													
Any other additional proof of identity													
Specify the proof of Identity submitted ①													
B. ADDRESS DETAILS (Proof of address must be different from the proof of identity submitted)													
Address for Correspondence ④													
		City/District/Village :						Pin Code					
		State :						Country :					
		Contact Details		Tel No.		STD Code				Number (Office)			
		Fax No.				Mobile No.							
		E-mail ID :											
Specify the proof of address submitted for correspondence address ④													
Permanent Address ④ (if different from above or overseas address, mandatory for Non-Resident Applicant)													
		City/District/Village :						Pin Code					
		State :						Country :					
		Tel No.		STD Code				Number (Residence)				Fax No.	
		Mobile No.				E-mail ID :							
Specify the proof of address submitted for permanent address, if any. ④													

C. OTHER DETAILS

Gross Annual Income ^⑤ details (please specify) < 1 Lac 1 - 5 Lac 5 - 10 Lac 10 - 25 Lac > 25 Lac
(Income range per annum)

(or) Net-worth As on

d	d	m	m	y	y	y	y

 Rs.
(should not be older than 1 year)

Occupation (please tick any one and give brief details)
 Private Sector Public Sector Government Service
 Business Professional Farmer Retired
 House Wife Students Others _____

Name of Employer (If employed) Designation :

Name of Establishment (If self employed/ business/professional/ others)

Office Address

City : Pin Code

State : Country :

Phone No. (Office) Fax No.

Please tick, as applicable

Politically Exposed Person (PEP)
 Related to a Politically Exposed Person (PEP)
 Not a Politically Exposed Person (PEP)
 Not Related to a Politically Exposed Person (PEP)

D. BANK ACCOUNT(S) DETAILS

Bank Name	Branch Address	Bank Account No.	Account Type : Savings / Current / Others - In case of NRI / NRE / NRO	MICR Number	IFSC Code

Note : Provide a copy of cancelled cheque leaf / pass book / bank statement specifying name of the client, MICR Code or/and IFSC Code of the bank.

E. DEPOSITORY ACCOUNT(S) DETAILS, if available				
Depository Participant Name	Depository Name (NSDL / CDSL)	Beneficiary Name	DP ID	Beneficiary ID (BO ID)

Note : Provide a copy of either Demat Master or a recent holding statement issued by DP bearing name of the client.

F. TRADING PREFERENCES <small>Note : Please sign in the relevant boxes against the Exchange with which you wish to trade. The Exchange not chosen should be struck off by the client.</small>			
Sl.No.	Name of the National Commodity Exchanges #	Date of Consent for trading on concerned Exchange	Signature of the Client
1.	Multi Commodity Exchange of India Limited		3a/11 Ⓢ
2.	National Commodity & Derivatives Exchange of India Limited		3b/11 Ⓢ

If, in future, the Client wants to trade on any other Exchange, separate Consent letter will be taken from the Client by CCom.

G. INVESTMENT / TRADING EXPERIENCE	
<input type="checkbox"/> No Prior Experience.	
<input type="checkbox"/> Years in Commodities.	<input type="checkbox"/> Years in other investment related fields.

H. SALES TAX REGISTRATION DETAILS (As applicable, State wise)	
Local Sales Tax State Registration No. :	
Validity Date :	Name of the State :
Central Sales Tax Registration No. :	
Validity Date :	
Other Sales Tax State Registration No. :	
Validity Date :	Name of the State :

I. VAT DETAILS (As applicable, State wise)	
Local VAT Registration No. :	
Validity Date :	Name of the State :
Other VAT Registration No. :	
Validity Date :	Name of the State :

J. PAST REGULATORY ACTIONS	
Details of any action / proceedings initiated / pending / taken by FMC / SEBI / Stock Exchange / Commodity Exchange / any other authority against the client during the last 3 years :	(If yes, attach relevant documents)

K. DEALINGS THROUGH OTHER MEMBERS

If client is dealing through any other Member, provide the following details (in case dealing with multiple Members, provide details of all in a separate sheet containing all the information as mentioned below.)

Member's / Authorized Person (AP)'s Name			
Exchange :		Exchange's Registration No.:	
Concerned Member's Name with whom the AP is registered			
Registered office address			
	City/District/Village :		Pin Code
	State :		Country :
	Tel No.	Number	Fax No. :
	E-mail ID :		
	Website :		
Client Code			
Details of disputes / dues pending from / to such Member / AP			

L. INTRODUCER DETAILS (Optional)

Name of the Introducer	<i>Sur Name</i> <i>Name</i> <i>Middle Name</i>		
Status of the Introducer	<input type="checkbox"/> Authorized Person <input type="checkbox"/> Existing Client <input type="checkbox"/> Others, please specify		
Address of the Introducer			
	City/District/Village :		Pin Code
	State :		Country :
	Tel No.	Number	Mobile No.:
Introducer's Signature	①		

M. ADDITIONAL DETAILS

Whether you wish to receive communication from Member in electronic form on your Email-id :	<input type="checkbox"/> Yes <input type="checkbox"/> No (If 'Yes' then please fill in Appendix-A)
---	--

N. NOMINATION DETAILS

Nomination	<input type="checkbox"/> I wish to nominate <input type="checkbox"/> I do not wish to nominate	
Name of the Nominee		Relationship with the Nominee

PAN of Nominee		Date of Birth of Nominee :	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:5%; text-align:center;">d</td> <td style="width:5%; text-align:center;">d</td> <td style="width:5%; text-align:center;">m</td> <td style="width:5%; text-align:center;">m</td> <td style="width:5%; text-align:center;">y</td> <td style="width:5%; text-align:center;">y</td> <td style="width:5%; text-align:center;">y</td> <td style="width:5%; text-align:center;">y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y				
Address of the Nominee											
	City/District/Village :				Pin Code						
	State :				Country :						
Tel No.	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:5%; text-align:center;">STD Code</td> <td style="width:10%;"></td> <td style="width:10%;"></td> <td style="width:10%;"></td> <td style="width:10%;"></td> <td style="width:10%;"></td> <td style="width:10%;"></td> <td style="width:10%;"></td> </tr> </table>	STD Code								Number	Mobile No.:
STD Code											

If Nominee is a minor, details of guardian

Name of Guardian											
Address of Guardian											
	City/District/Village :				Pin Code						
	State :				Country :						
Tel No.	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:5%; text-align:center;">STD Code</td> <td style="width:10%;"></td> <td style="width:10%;"></td> <td style="width:10%;"></td> <td style="width:10%;"></td> <td style="width:10%;"></td> <td style="width:10%;"></td> <td style="width:10%;"></td> </tr> </table>	STD Code								Number	Mobile No.:
STD Code											
Signature of Guardian	Ⓢ										

WITNESSES (Only applicable in case the account holder has made nomination)

1. Signature : W1	2. Signature : W2
Name :	Name :
Address :	Address :
.....
.....
.....

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the tariff sheet and all voluntary / non-mandatory documents.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document' and 'Do's and Don'ts'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on Member's designated website, if any.

Place :

Date

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

4/11
C

Signature of the Client

FOR OFFICE USE ONLY

UCC Code allotted to the Client: _____

	Documents verified with Originals
Name of the Employee	
Employee Code	
Designation of the employee	
Date	
Signature	⊗

I/We undertake that we have made the client aware of tariff sheet and all the voluntary/non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD, 'Do's and Dont's' and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the tariff sheet and all the voluntary/non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

⊗ _____
Signature of the Authorised Signatory

Date

d	d	m	m	y	y	y	y

Seal/Stamp of the Member

ADDITIONAL ACCOUNT OPENING FORM

Additional Details of Client

Educational Qualification	<input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate <input type="checkbox"/> Professional <input type="checkbox"/> Others, Specify
Whether you wish to avail of the facility of internet trading/wireless technology (please specify):	<input type="checkbox"/> I wish to avail Internet Trading Facility <input type="checkbox"/> I wish to avail Wireless Technology Facility
Any other information	

Spouse Information

Name	
Occupation	
Name & Address of employer (if employed)	
Name & Address of establishment (if self employed / business / professional / others)	

Bank Details of the Nominee (Proof to be enclosed)

Bank Name										
Bank Branch & Address										
Bank A/c Number								Account Type <input type="checkbox"/> SB <input type="checkbox"/> CA		
MICR No.										
IFSC Code										

DECLARATION

I hereby declare the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I am aware that I may be held liable for it.

Place: _____ Signature: ^{5/11} © _____
Date: _____ Name: _____

Attested
*(The Signature is to be attested by
Client's Bank Manager or a Notary Public)*

Witness
Signature: _____
Name : _____
Address : _____

Annexure -8

RUNNING ACCOUNT AUTHORISATION

To

Coimbatore Commodities Limited,
Stock Exchange Building, 1st Floor,
686, Trichy Road, Coimbatore - 641 005.

Date :

Dear Sir,

Sub : Request for maintenance of Running Account

Ref: My Trading/Front Office (FO) Code in Commodity Exchange(s).

I hereby state that I am aware about the norms relating to pay-out of funds. In order to facilitate operations and banking convenience, I hereby authorise Coimbatore Commodities Limited (CCom), to maintain a running account with CCom, instead of daily settlement of funds due to me.

Further, the pay-out of funds may be retained by CCom and I agree not to claim any interest from CCom on such funds so retained by them. The funds so retained shall be available to CCom for exposure/margin purposes for me.

I further agree that CCom shall not be liable for any claim for loss or profit or for any consequential, incidental, special or exemplary damages, caused by retention of such funds under the agreement.

On request by me to CCom, CCom may release the funds to me, if sufficient margins in respect of my trading in Commodity Segment of the Exchange(s) are available with CCom.

I hereby agree that I shall confirm the statement of funds within seven working days on receipt of the monthly statements in this regard being issued by CCom, failing which it is agreed by me that the statement of balances as issued by CCom is proper and correct.

I am also aware that the above authorization may be revoked by me at any time on communication to you in writing.

Thanking you,

Yours faithfully,

6/11

©

Annexure -9

DECLARATION FOR MOBILE NUMBER

To
Coimbatore Commodities Limited,
Stock Exchange Building, 1st Floor,
686, Trichy Road, Coimbatore - 641 005.

Date :

Ref : My Trading / Front Office (FO) Code in Commodity Exchange(s) :

I, having PAN No. do hereby declare that
my mobile number is Further, I authorize Coimbatore Commodities Limited that the
same may be used for giving me any information / alert / sms / call.

Further I declare that the above mentioned statement is true and correct.

Thanking you,
Yours faithfully,

7/11
©

Annexure -10

DECLARATION REGARDING VOLUNTARY REQUIREMENT

To
Coimbatore Commodities Limited,
Stock Exchange Building, 1st Floor,
686, Trichy Road, Coimbatore - 641 005.

Date :

Ref : My Trading / Front Office (FO) Code in Commodity Exchange(s) :

In addition to the Mandatory Documents prescribed by FMC/ Commodity Exchange(s) in Docket 'A' of Account Opening Form, I hereby declare that I have also executed/ not executed Additional Rights and Obligations and other Additional Documents as required by CCom in Docket 'B' of Account Opening Form for the smooth conduct of business.

Thanking you,
Yours faithfully,

8/11
©

Annexure -11

VERBAL ORDER ACCEPTANCE AUTHORISATION

To

Coimbatore Commodities Limited,
Stock Exchange Building, 1st Floor,
686, Trichy Road, Coimbatore - 641 005.

Date :

Ref: My Trading / Front Office (FO) Code in Commodity Exchange(s) :

I understand that you require written instructions from me for placing/ modifying/ cancelling orders. However, since it is not practical for me to give written instruction for placing/ modifying/ cancelling order, I hereby request you to kindly accept my mandate holder's verbal orders/ instruction, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same was not placed by me.

I indemnify CCom and keep you indemnified against all losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my orders placed verbally.

In case I wish to withdraw this consent I shall inform CCom in writing and acknowledge by CCom at least one week in advance from the date of withdrawal.

Thanking you,

Yours faithfully,

9/11

©

Annexure -12

**CONSENT FORM FOR RECEIVING TRADE ALERTS
THROUGH SMS AND/OR E-MAIL**

Date :

To
Coimbatore Commodities Limited,
Stock Exchange Building, 1st Floor,
686, Trichy Road,
Coimbatore - 641 005.

Dear Sir,

I,, a Client with Coimbatore Commodities Limited,
MemberID : 29675 of MCX, undertake as follows :

1. I am aware that Multi Commodity Exchange (MCX) provides the details of the trades executed on its trading platform to the concerned clients / constituents through SMS and E-mail alerts.
2. I am aware that the Member has to provide the trade details through SMS / E-mail alerts for my convenience at my request only.
3. I hereby confirm that I wish to receive the trade alerts through :

- a. SMS :
- b. E-mail :
- c. SMS and E-mail :

4. The alerts should be sent on

a. Mobile Number :

--	--	--	--	--	--	--	--	--	--

b. E-mailAddress :

I hereby agree to the terms and conditions specified by the Exchange vide Circular No. MCX/T&S/165/2012 dated April 26, 2012 and circulars / clarifications issued by the Exchange from time to time in this regard. We are also aware that this is an additional facility provided by the Exchange and we shall not solely rely or use such data for any purpose and, Exchange shall not be liable for any direct or indirect loss of any nature because of providing this additional facility.

ClientName :

Unique Client Code :

PAN :

Thanking you,

Yours faithfully,

Appendix -A

**ELECTRONIC CONTRACT NOTE (ECN) - DECLARATION
(Voluntary)**

To
Coimbatore Commodities Limited,
Stock Exchange Building, 1st Floor,
686, Trichy Road, Coimbatore - 641 005.

Dear Sir,

I, a client with Member M/s.Coimbatore
Commodities Limited of Multi Commodity Exchange of India Limited (MCX) Exchange undertake as follows:

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other Indian language known to me.
- This declaration is valid till 31st March(YYYY).

[The above declaration has been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same]

(The above lines must be reproduced in own handwriting of the client.)

.....
.....
.....
.....

Client Name :

Unique Client Code :

PAN :

Address :
.....
.....

Date :

Place :

11/11
©

.....
Signature of the Client

Verification of the client signature done by,

Name of the designated officer of the Member

Date :

.....
Signature

Instructions :

- The client shall, on receipt of this email, print the email as well as the declaration form.
- The hard copy of the declaration shall be filled up by the client and submitted to the Member along with a signed hard copy of the email. The Member shall acknowledge the receipt of the declaration from the client.
- The client can revoke the ECN facility and opt for the contract note in the physical form only by giving 7 working days notice to the member.

To be filled by CCom Branch Office

Trading Terminal Location at

Date of issue of the Form to the client		Authorised by (Branch Incharge's Signature, Name with Branch Seal)	⊗
Date of receipt of the Form from the client			
Date of sending the Form to HO			

Client Brokerage Structure

Brokerage on commencement of trading :

MCX		NCDEX	
Brokerage%	Brokerage (Minimum Paise)	Brokerage%	Brokerage (Minimum Paise)

⊗

Branch Head

Approved by (HO)

Entered by (HO)

For CCom Head Office purpose only

Date of receipt of the Form :

Exch-ange	Unique Client Code	Back Office Code	Documents verified by	Account opening authorised by	Registered		First trade date
					on	by	
MCX							
NCDEX							